

Form PTO-1449 (modified)

Atty. Docket No. WMS-028

Serial No. 10/684353

Unassigned

List of Patents and Publications for Applicant's

Applicant Larry J. Pacey

Information Disclosure Statement

Filing Date: October 10, 2003

Group: Unassigned

Page 1 of 3

U.S. Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
274	A01	6,186,894 B1	02/13/2001	Mayeroff	463	20	07/08/1998
	A02	6,309,300 B1	10/30/2001	Glavich	463	26	05/04/2000
	A03	6,311,976 B1	11/06/2001	Yoseloff et al.	273	138.2	09/01/2000
	A04	2002/0025848 A1	02/28/2002	Graham et al.	463	25	03/29/2001
	A05	2002/0045475 A1	04/18/2002	Glavich et al.	463	20	10/15/2001
	A06	6,439,995 B1	08/27/2002	Hughs-Baird et al.	463	20	09/07/2000
	A07	2002/0155880 A1	10/24/2002	Glavich et al.	463	20	03/14/2002
	A08	6,506,118 B1	01/14/2003	Baerlocher et al.	463	25	08/24/2001
	A09	2003/0013519 A1	01/16/2003	Bennett	463	25	01/18/2001
	A10	6,514,141 B1	02/04/2003	Kaminkow et al.	463	25	10/06/2000
	A11	2003/0036423 A1	02/20/2003	Vancura	463	20	06/03/2002
	A12	2003/0036424 A1	02/20/2003	Baerlocher	463	25	05/31/2002
	A13	2003/0040355 A1	02/27/2003	Baerlocher	463	20	08/24/2001
	A14	2003/0040360 A1	02/27/2003	Kaminkow	463	25	09/13/2002
	A15	2003/0045343 A1	03/06/2003	Luccesi et al.	463	20	08/31/2001
	A16	2003/0045344 A1	03/06/2003	Webb et al.	463	20	08/31/2001
	A17	2003/0045348 A1	03/06/2003	Palmer et al.	463	25	08/31/2001
	A18	2003/0045349 A1	03/06/2003	Hughs-Baird	463	25	08/20/2002
274	A19	2003/0045350 A1	03/06/2003	Baerlocher et al.	463	25	09/11/2002

Foreign Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B01						
	B02						

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)		
Exam. Init.	Ref. Des.	Citation
	C01	
	C02	

EXAMINER: William J. Pacey

DATE CONSIDERED: 6/19/07

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Form PTO-1449 (modified)	Atty. Docket No. WMS-028	Serial No. 10/684353 Unassigned
List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT	Applicant Larry J. Pacey	
	Filing Date: October 10, 2003	Group: Unassigned

Page 2 of 3

U.S. Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
DM	A20	2003/0054876 A1	03/20/2003	Hughs-Baird et al.	463	20	07/15/2002
	A21	2003/0060253 A1	03/27/2003	Kaminkow et al.	463	16	09/26/2001
	A22	2003/0060254 A1	03/27/2003	Cuddy et al.	463	16	09/26/2001
	A23	2003/0060255 A1	03/27/2003	Baerlocher et al.	463	16	09/26/2001
	A24	2003/0060272 A1	03/27/2003	Glavich et al.	463	25	09/21/2001
	A25	2003/0060275 A1	03/27/2003	Hughs-Baird	463	25	09/21/2001
	A26	2003/0060277 A1	03/27/2003	Webb et al.	463	25	09/03/2002
	A27	2003/0064777 A1	04/03/2003	Baerlocher et al.	463	16	11/06/2002
	A28	2003/0073477 A1	04/17/2003	Baerlocher et al.	463	16	10/15/2001
	A29	2003/0073482 A1	04/17/2003	Baerlocher et al.	463	20	10/15/2001
	A30	2003/0073483 A1	04/17/2003	Glavich et al.	463	20	02/08/2002
	A31	2003/0078093 A1	04/24/2003	Simins et al.	463	20	09/26/2002
	A32	2003/0078096 A1	04/24/2003	Kaminkow et al.	463	25	11/27/2002
	A33	2003/0085514 A1	05/08/2003	Yoseloff et al.	273	143 R	10/22/2002
	A34	2003/0087693 A1	05/08/2003	Baerlocher et al.	463	25	12/12/2002
	A35	6,569,015 B1	05/27/2003	Baerlocher et al.	463	16	07/27/2000
	A36	6,569,016 B1	05/27/2003	Baerlocher	463	16	10/04/2000
	A37	6,575,830 B2	06/10/2003	Baerlocher et al.	463	20	06/17/2002
DM	A38	6,582,306 B1	06/24/2003	Kaminkow	463	20	07/27/2000

Foreign Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B01						
	B02						

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)		
Exam. Init.	Ref. Des.	Citation
	C01	
	C02	

EXAMINER: <i>William H. McCall</i>	DATE CONSIDERED: 6/19/07
EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

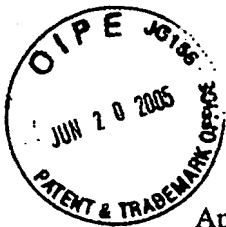
Form PTO-1449 (modified)	Atty. Docket No. WMS-028	Serial No. 10/684358 Unassigned
List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT	Applicant Larry J. Pacey	
Page 3 of 3	Filing Date: October 10, 2003	Group: Unassigned

U.S. Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date If App.
<i>LM</i>	A39	6,595,854 B2	07/22/2003	Hughs-Baird et al.	463	20	07/15/2002
	A40	6,599,185 B1	07/29/2003	Kaminkow et al.	463	16	10/16/2000
	A41	6,599,192 B1	07/29/2003	Baerlocher et al.	463	25	10/16/2000
	A42	6,602,135 B1	08/05/2003	Gerrard	463	16	10/06/2000
	A43	6,602,137 B2	08/05/2003	Kaminkow et al.	463	16	09/26/2001
	A44	6,605,002 B2	08/12/2003	Baerlocher	463	25	09/20/2001
	A45	2003/0153378 A1	08/14/2003	Schlegel et al.	463	16	02/08/2002
	A46	2003/0153383 A1	08/14/2003	Baerlocher et al.	463	25	04/12/2002
	A47	6,607,438 B2	08/19/2003	Baerlocher et al.	463	16	09/28/2001
	A48	6,609,971 B2	08/26/2003	Vancura	463	16	07/18/2001
<i>LM</i>	A49	2003/0181233 A1	09/25/2003	Baerlocher et al.	463	16	03/12/2003

Foreign Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B01						
	B02						

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)		
Exam. Init.	Ref. Des.	Citation
	C01	
	C02	

EXAMINER: <i>William D. Theobald</i>	DATE CONSIDERED: <i>6/19/07</i>
EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	



Applicants: Pacey
Application No.: 10/684,353
Publication No.: US 2005-0079908 A1
Filed: October 10, 2003
Publication Date: April 14, 2005
Title: GAMING MACHINE HAVING AN AWARD-TRADING SCHEME

Group Art Unit: 3713
Examiner: John M. Hotaling

THIRD-PARTY SUBMISSION OF PRIOR ART
IN A PUBLISHED APPLICATION
PER 37 C.F.R. § 1.99

To Whom It May Concern:

We submit the following patents or publications for consideration by the Office:

- WM*
- U.S. Patent No. 5,816,918, issued October 6, 1998 to Kelly et al.;
 - U.S. Patent No. 6,186,893 B1, issued February 13, 2001 to Walker et al.;
 - U.S. Patent Publication No. 2002-0177479 A1, published November 28, 2002 to Walker et al.;
 - U.S. Patent No. 6,623,357 B2, issued September 23, 2003 to Chowdhury;
 - U.S. Patent Publication No. 2003-0199320 A1, published October 23, 2003 to Nguyen et al.; and
 - U.S. Patent No. 6,848,995, issued February 1, 2005 to Walker et al.
- WM*

Enclosed is:

- A money order for \$180.00, which includes
 - the fee under 1.17(p) (\$180), and
- Clean copies of all patents / publications listed above
- A self-addressed postcard to acknowledge receipt

In accordance with 37 C.F.R. § 1.248(a)(4), a copy of this submission was transmitted on June 17, 2005 via first class mail to the Applicant's attorney or agent at the following correspondence address listed on the published application:

BEYER WEAVER & THOMAS LLP
P.O. Box 778
Berkeley, CA 94704-0778

06/20/2005 ZJUHR1 00000034 10684353

01 FC:1806

180.00 OP

William P. McCall 6/19/07